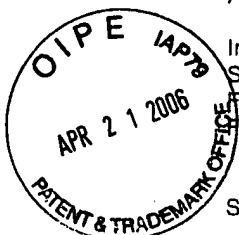


Mail Stop: PETITIONS
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P.O. Box 1450
Alexandria, VA 22313-1450

In re application of: Johann ENGELHARDT
 Serial No.: 10/618,345
 Filed: July 11, 2003
 For: SCANNING MICROSCOPE HAVING AN OPTICAL COMPONENT, AND OPTICAL COMPONENT

Sir:

Transmitted herewith is a **REQUEST FOR ISSUANCE OF APPLICATION IN THE NAME OF ASSIGNEE UNDER 37 C.F.R. §3.81(b) (2 pages)** in the above-identified application.

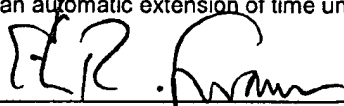
- ☐ Small entity status under 37 C.F.R. 1.9 and 1.27 has been previously established.
☐ Applicants assert small entity status under 37 C.F.R. 1.9 and 1.27.
☒ No fee for additional claims is required.
☐ A filing fee for additional claims calculated as shown below, is required:

		(Col. 1)		(Col. 2)		SMALL ENTITY		OR	LARGE ENTITY	
FOR:	REMAINING	HIGHEST	AFTER	PREVIOUSLY	PRESENT	RATE	FEE		RATE	FEE
	AMENDMENT	PAID FOR			EXTRA					
TOTAL CLAIMS	* MINUS 20	=			0	x \$ 9	\$		x \$ 18	\$
INDEP. CLAIMS	* MINUS 3	=			0	x \$ 44	\$		x \$ 88	\$
	FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+ \$150	\$		+ \$300	\$

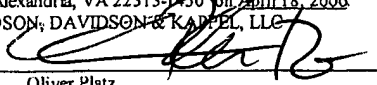
TOTAL: \$ OR TOTAL: \$

- * If the entry in Co. 1 is less than the entry in Col. 2, write "0" in Col. 3.
 ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
 *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

- ☒ Also transmitted herewith are:
☐ Petition for extension under 37 C.F.R. 1.136
☒ Other: **Certificate of Correction (1 page); Copy of Notice of Recordation (3 pgs.)**
- ☒ Check(s) in the amount of **\$230.00** is/are attached to cover:
☐ Filing fee for additional claims under 37 C.F.R. 1.16
☐ Petition fee for extension under 37 C.F.R. 1.136
☒ Other: **Fee under 37 C.F.R. §17(i) and fee under 37 C.F.R. §1.20(a)**
- ☒ The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0552.
- ☒ Any filing fee under 37 C.F.R. 1.16 for the presentation of additional claims which are not paid by check submitted herewith.
- ☒ Any patent application processing fees under 37 C.F.R. 1.17.
- ☒ Any petition fees for extension under 37 C.F.R. 1.136 which are not paid by check submitted herewith, and it is hereby requested that this be a petition for an automatic extension of time under 37 CFR 1.136.


 Erik R. Swanson, Reg. No. 40,833
 DAVIDSON, DAVIDSON & KAPPEL, LLC
 485 Seventh Avenue, 14th Floor
 New York, New York 10018
 Tel: (212) 736-1940
 Fax: (212) 736-2427

I hereby certify that the documents referred to as attached therein and/or fee are being deposited with the United States Postal Service as "first class mail" with sufficient postage in an envelope addressed to "Mail Stop: PETITIONS, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on April 18, 2006
 DAVIDSON, DAVIDSON & KAPPEL, LLC

BY: 
 Oliver Platz



UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: MAI, Huy Kim Group Art Unit: 2873

Re: Application of: Johann ENGELHARDT

Serial No.: 10/618,345

Filed: July 11, 2003

Patent No.: US 7,018,042 B2

Issued: March 28, 2006

For: SCANNING MICROSCOPE HAVING AN
OPTICAL COMPONENT, AND OPTICAL
COMPONENT

Customer No.: 23280

Mail Stop: PETITIONS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

April 18, 2006

REQUEST FOR ISSUANCE OF APPLICATION IN THE NAME OF ASSIGNEE
UNDER 37 C.F.R. §3.81(b)

Sir:

Patentees respectfully request issuance of US 7,018,042 B2, issued March 28, 2006 in the name of assignee Leica Microsystems CMS GmbH. In satisfaction of the requirements under 37 C.F.R. §3.81(b) patentees state the following:

As set forth in 37 C.F.R. §3.11, Patentees submitted the original assignment for recordation when filing the application on July 11, 2003. The original assignment was recorded at reel 014280, frame 0906 on July 11, 2003. On March 9, 2006, before issuance of the patent, patentee submitted a change of name request which was recorded at reel 017320, frame 0727 on March 9, 2006. Due to Patentee's good faith error, the

04/24/2006 WABDELRI 00000013 10618345

01 FC:1464

130.00 OP

- 1 -

04/24/2006 WABDELRI 00000013 10618345

02 FC:1811

100.00 OP

change of name request was not filed earlier. A copy of the Notice of Recordation of the change of name conveyance is submitted herewith.

Patentees hereby submit and respectfully request entry of the enclosed Certificate of Correction under 37 C.F.R. §1.323. On the face of the patent (73) should read:
“(73) Assignee: **Leica Microsystems CMS GmbH**, Wetzlar (DE)”

Submitted herewith is a check in the amount of \$230.00 covering the processing fee under 37 C.F.R. §17(i) and the fee of \$100.00 required under 37 C.F.R. §1.20(a).

If any additional fees are deemed necessary in connection with this request the Assistant Commissioner is hereby authorized to charge payment of such fees to Deposit Account No. 50-0552. In such event the Examiner is requested to contact the undersigned at the telephone number provided below.

Respectfully submitted,
DAVIDSON, DAVIDSON & KAPPEL, LLC

By: 

Erik R. Swanson, Reg. No. 40,833

Davidson, Davidson & Kappel, LLC
485 Seventh Avenue, 14th Floor
New York, New York 10018
(212) 736 1940

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO : US 7,018,042

Page 1 of 1

APPLICATION NO.: 10/618,345

ISSUE DATE : March 28, 2006

INVENTOR(S) : Johann ENGELHARDT

It is certified that error appears in the above-identified patent and that said Letters Patent are hereby corrected as shown below:

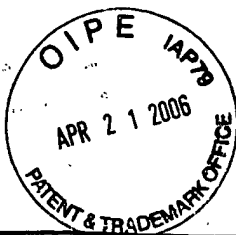
(73) Assignee: Leica Microsystems CMS GmbH, Wetzlar (DE)

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Davidson, Davidson & Kappel, LLC
485 Seventh Ave
New York, NY 10018

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MARCH 17, 2006

PTAS

ERIK R. SWANSON
DAVIDSON DAVIDSON & KAPPEL, LLC
485 SEVENTH AVENUE
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NEW YORK, NY 10018

*700249494

*700249494

RECEIVED
MAR 21 2006

DAVIDSON, DAVIDSON & KAPPEL

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 03/09/2006

REEL/FRAME: 017320/0727
NUMBER OF PAGES: 2

BRIEF: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

LEICA MICROSYSTEMS HEIDELBERG GMBH DOC DATE: 04/12/2005

ASSIGNEE:

LEICA MICROSYSTEMS CMS GMBH
ERNST-LEITZ-STRASSE 17-37
WETZLAR, GERMANY 35578

SERIAL NUMBER: 10618345

FILING DATE: 07/11/2003

PATENT NUMBER: 7018042

ISSUE DATE: 03/28/2006

TITLE: SCANNING MICROSCOPE HAVING AN OPTICAL COMPONENT, AND OPTICAL COMPONENT

ERS/TAC/WCA/OP

017320/0727 PAGE 2

SHARON LATIMER, EXAMINER
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

03/09/2006
700249494

APR 21 2006

RECORDATION FORM COVER SHEET

PATENTS ONLY

To the Director of the U.S. Patents and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):
Leica Microsystems Heidelberg GmbH

Execution Date(s) April 12, 2005

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☐ Security Agreement ☒ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Leica Microsystems CMS GmbH

Internal Address: _____

Street Address: Ernst-Leitz-Strasse 17-37

City: Wetzlar

State: _____

Country: Germany Zip: 35578

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

A. Patent Application No.(s)
10/618,345

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Erik R. Swanson

Internal Address: Davidson, Davidson & Kappel, LLC

Street Address: 485 Seventh Avenue

14th Floor

City: New York

State: NY Zip: 10018

Phone Number: (212) 736 1940

Fax Number: (212) 736 2427

Email Address: ddk@ddkpatent.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 50-0552

Authorized User Name Davidson, Davidson & Kappel, LLC

9. Signature:

William C. Gahr

Signature

William C. Gahr, Reg. No. 38,158

March 8, 2006

Date

Total number of pages including cover sheet, attachments, and documents

2

Name of Person Signing

Documents to be recorded (including cover sheet) should be filed to (753) 306-5998, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450